



HONG KONG MONETARY AUTHORITY
香港金融管理局

Circulars

13 Oct 2009

**Circular Issued by Securities and Futures Commission (SFC)
Regarding Deadlines for Filing Proofs of Claim based on Lehman
Programs Securities**

Our Ref.: B1/15C
G16/1C

13 October 2009

The Chief Executive
All Registered Institutions

Dear Sir / Madam,

**Circular Issued by Securities and Futures Commission (SFC)
Regarding Deadlines for Filing Proofs of Claim based on
Lehman Programs Securities**

I am writing to draw your attention to a circular issued by the SFC on 9 October 2009 ("the SFC Circular"). The SFC Circular reminds licensed corporations which had distributed / sold Lehman Programs Securities to notify their clients about the deadlines for filing proofs of claim against Lehman Brothers Holding Inc.

A copy of the SFC Circular is enclosed at the [Annex](#). Registered institutions should take appropriate action to communicate the information mentioned in the SFC Circular to relevant clients of their institutions if they have not already done so. Such action should include but not limited to requesting the clients to check the information on the relevant websites.

Yours faithfully,

Raymond CHAN
Acting Executive Director (Banking Supervision)

Encl. [Annex](#) (PDF file, 159KB)

c.c. SFC (Attn: Mr Stephen Po, Senior Director of Intermediaries Supervision)

Last revision date : 01 August 2011