HONG KONG MONETARY AUTHORITY
香港金融管理局

Banking Supervision Department

Our Ref.: B10/1C
B1/15C

25 October 2013

The Chief Executive
All Authorized Institutions

Dear Sir/Madam,

United Nations (Anti-Terrorism Measures) Ordinance, United Nations Sanctions Ordinance and List of Names for Suspicious Account Reporting

I am writing to inform you of the following developments:

(i) *United Nations (Anti-Terrorism Measures) Ordinance*

The Secretary for Security has specified an updated list of terrorists and terrorist associates designated by the United Nations Security Council (UNSC) Committee under section 4 of the United Nations (Anti-Terrorism Measures) Ordinance (Cap. 575). The list was published in the Government Gazette (G.N. 6296 of 2013) today and is available on the Government's website (http://www.gld.gov.hk/egazette). Relevant press releases issued by the Committee are attached at **Annex**.

(ii) *United Nations Sanctions (Somalia) (Amendment) (No.2) Regulation 2013*

The Chief Executive-in-Council has approved the United Nations Sanctions (Somalia) (Amendment) (No.2) Regulation 2013 ("the Amendment Regulation") under the United Nations Sanctions Ordinance (Cap. 537). The Amendment Regulation was published in the Government Gazette (L.N. 165 of 2013) today and is available on the Government's website (http://www.gld.gov.hk/egazette).

The Amendment Regulation amends the existing Regulation on Somalia to incorporate decisions of the UNSC under Resolution 2111. The Amendment Regulation mainly modifies the exceptions to the arms embargo measures, the prohibition against the provision of advice, assistance and training, as well as financial sanctions in respect of Somalia.

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(iii) *United Nations Sanctions (Afghanistan) Regulation 2012*

The Secretary for Commerce and Economic Development has specified an updated list of relevant persons and relevant entities designated under section 29 of the United Nations Sanctions (Afghanistan) Regulation 2012 (Cap. 537 sub. leg. AX). The list was published in the Government Gazette (G.N. 6297 of 2013) today and is available on the Government's website (http://www.gld.gov.hk/egazette).

(iv) *United Nations Sanctions (Democratic People's Republic of Korea) Regulation*

The Secretary for Commerce and Economic Development has specified an updated list of relevant persons and relevant entities designated under section 31 of the United Nations Sanctions (Democratic People's Republic of Korea) Regulation (Cap. 537 sub. leg. AE). The list was published in the Government Gazette (G.N. 6298 of 2013) today and is available on the Government's website (http://www.gld.gov.hk/egazette).

(v) *United Nations Sanctions (Libya) Regulation 2011*

The Secretary for Commerce and Economic Development has specified an updated list of relevant persons and relevant entities designated under section 38 of the United Nations Sanctions (Libya) Regulation 2011 (Cap. 537 sub. leg. AW). The list was published in the Government Gazette (G.N. 6299 of 2013) today and is available on the Government's website (http://www.gld.gov.hk/egazette).

(vi) *United Nations Sanctions (Sudan) Regulation 2013*

The Secretary for Commerce and Economic Development has specified an updated list of relevant persons designated under section 31 of the United Nations Sanctions (Sudan) Regulation 2013 (Cap. 537 sub. leg. BF). The list was published in the Government Gazette (G.N. 6300 of 2013) today and is available on the Government's website (http://www.gld.gov.hk/egazette).

(vii) *List of Names for Suspicious Account Reporting*

The US Government has recently made a number of changes to the list of individuals and entities designated under US Executive Order 13224. The changes since our last letter on this subject dated 30 August 2013 can be found on the website of the US Treasury in respect of changes made from 18 September 2013 to 7 October 2013. (http://www.treasury.gov/resource-center/sanctions/Programs/Documents/terror.pdf)

AIs are reminded that in accordance with the provisions of Chapter 6 of the Guideline on Anti-Money Laundering and Counter-Terrorist Financing, they should maintain a database of individuals and entities designated under the United Nations (Anti-Terrorism

Measures) Ordinance, United Nations Sanctions Ordinance and US Executive Order 13224 for client and transaction screening purposes.

The HKMA expects all new designations to be screened against an AI’s client list as soon as practicable after the issuing of a circular by the HKMA.

Any transactions or relations, past or present, with any designated individual or entity should be reported to the Joint Financial Intelligence Unit and the HKMA.

Yours faithfully,

Sunny Yung
Acting Executive Director (Banking Supervision)

Encl.