



HONG KONG MONETARY AUTHORITY
香港金融管理局

Our Ref: B1/21C
B9/116C

8 December 2017

The Chief Executive
All authorized institutions

Dear Sir / Madam,

Supervisory Policy Manual (SPM):
Revised module CG-6 “Competence and Ethical Behaviour”

I am writing to inform you that, following consultation with the two industry Associations, the Monetary Authority (MA) is issuing today a revised version of the above SPM module as a guidance note. The SPM module was revised mainly to reflect the latest developments in enhancing training programmes for banking practitioners in Hong Kong.

On-line access to the SPM module is available on the HKMA’s public website (<http://www.hkma.gov.hk/eng/key-functions/banking-stability/supervisory-policy-manual.shtml>) and private website (<http://www.stet.iclnet.hk/index.htm>).

Should you have questions regarding this module, please contact Carita Wan at carita_pm_wan@hkma.gov.hk.

Yours faithfully,

Daryl Ho
Executive Director (Banking Policy)

Encl.

cc: The Chairperson, The Hong Kong Association of Banks
The Acting Chairperson, The DTC Association
FSTB (Attn: Ms Eureka Cheung)