



HONG KONG MONETARY AUTHORITY
香港金融管理局

Our Ref: B1/15C
G16/1C

2 March 2018

The Chief Executive
All Registered Institutions

Dear Sir/ Madam,

Fund Management Activities Survey 2017
Conducted by the Securities and Futures Commission (“SFC”)

I am writing to invite your institution to participate in the Fund Management Activities Survey (FMAS) conducted by the SFC for the year ended 31 December 2017. The purpose of the FMAS is to collect information about fund management activities in Hong Kong for regulatory and market facilitation purposes and to develop a better understanding of the state of the fund management industry in Hong Kong.

Enhancements have been made to the FMAS this year by arranging separate sets of questionnaires for private wealth management business and non-private wealth management business. A copy of these two sets of questionnaires is enclosed (please enable Macros when opening the Excel files). All Registered Institutions are invited to complete both Questionnaire A which covers private wealth management business and Questionnaire B which covers non-private wealth management business. For the avoidance of doubt, if your institution did not engage in any of the relevant activities respectively covered under Questionnaire A or B, please still fill in Part I of that Questionnaire.

Please have the questionnaire(s) completed and **returned to the SFC by 6 April 2018**. To reduce the amount of paper work and facilitate data analysis, your institution is encouraged to submit the completed questionnaire(s) electronically through the SFC’s designated webpage: <https://www.sfc.hk/sfcFMAS/jsp/submit.jsp>.

All information relating to individual institutions will be treated in strict confidence and will not be disclosed by the SFC to any third party other than the Hong Kong Monetary Authority, except in an aggregated form of statistics.

Should you have any questions on the FMAS, please contact the SFC Investment Products Division at 2231-1532 (for institutions whose name begins with the letter A to E) or 2231-1735 (for institutions whose name begins with the letter F to Z).

Yours faithfully,

Tess Leung
Head (Banking Conduct)

Encl.

c.c. SFC (Attn: Ms Alexandra Yeong, Senior Director (Investment Products))