Annex

INTERMEDIARY CERTIFICATE

I/We wish to apply for opening an account on behalf of the following *person(s)/company:

Customer Name _____

Address _____

_____

1. I/We confirm that I/we have verified the customer's identity and address and enclose herewith the following identity documents, where applicable, or copies of such documents duly certified, in accordance with the requirements set out in the HKMA's Guideline on Prevention of Money Laundering (including its Supplement):

   (a) Identity card(s)/passport(s) of *the customer / all authorized signatories, directors (at least 2 including the managing director) and all principal shareholders of the company;

   (b) Resolution of the board of directors to open account and confer authority on those who will operate the account;

   (c) Certificate of Incorporation;

   (d) Business Registration Certificate;

   (e) Memorandum and Articles of Association;

   (f) Search record at the Company Registry;

   (g) Evidence of address;

   (h) Other relevant documents.

2. I/ We confirm that the *occupation / business activities of the customer is/are

   _____.

3. I am/We are satisfied as to the source of funds being used to open the account. The details are set out below:

   _____.

4. I/We enclose the account opening documents duly completed, and confirm that the signature(s) contained in the account opening documents is/are signed by the customer(s).

5. I/We enclose herewith the evidence of authority for me / us to act on behalf of the customer in the application for opening and / or operating the account.

***** *Please delete as appropriate*

Signed: _____

Name: _____

Position held: _____ at _____(name of company / firm)____

Date: _____