List of Registered Institutions that the HKMA intends to invite participation in the 2009 Self-Assessment on Compliance with the HKMA Supervisory Policy Manual Module SB-1 and Other Requirements over Regulated Activities

1 ABN AMRO BANK N.V.
2 AIG PRIVATE BANK LTD
3 BANC OF AMERICA SECURITIES ASIA LIMITED
4 BANK OF AMERICA, NATIONAL ASSOCIATION
5 BANK OF CHINA (HONG KONG) LIMITED
6 BANK OF COMMUNICATIONS CO., LTD.
7 BANK OF EAST ASIA, LIMITED (THE)
8 BANK SINOPAC
9 BARCLAYS BANK PLC
10 BNP PARIBAS
11 BNP PARIBAS WEALTH MANAGEMENT
12 CALYON
13 CANADIAN IMPERIAL BANK OF COMMERCE
14 CHINA CONSTRUCTION BANK (ASIA) CORPORATION LIMITED
15 CHIYU BANKING CORPORATION LIMITED
16 CHONG HING BANK LIMITED
17 CITIBANK (HONG KONG) LIMITED
18 CITIBANK, N.A.
19 CITIC KA WAH BANK LIMITED
20 CITICORP INTERNATIONAL LIMITED
21 COOPERATIEVE CENTRALE RAIFFEISEN - BOERENLEENBANK B.A.
22 CREDIT AGRICOLE (SUISSE) SA
23 CREDIT SUISSE
24 DAH SING BANK, LIMITED
25 DBS BANK (HONG KONG) LIMITED
26 DBS BANK LTD.
27 DEUTSCHE BANK AKTIENGESELLSCHAFT
28 EFG BANK
29 FORTIS BANK
30 FUBON BANK (HONG KONG) LIMITED
31 HANG SENG BANK, LIMITED
32 HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED (THE)
33 HSBC BANK INTERNATIONAL LIMITED
34 HSBC PRIVATE BANK (SUISSE) SA
35 INDUSTRIAL AND COMMERCIAL BANK OF CHINA (ASIA) LIMITED
36 ING BANK N.V.
37 J. P. MORGAN SECURITIES (ASIA PACIFIC) LIMITED
38 JPMORGAN CHASE BANK, NATIONAL ASSOCIATION
39 MEGA INTERNATIONAL COMMERCIAL BANK CO., LTD.
40 MEVAS BANK LIMITED
41 MITSUBISHI UFJ SECURITIES (HK) CAPITAL, LIMITED
42 NANYANG COMMERCIAL BANK, LIMITED
43 NEWEDGE GROUP
44 PUBLIC BANK (HONG KONG) LIMITED
45 RBS COUTTS BANK AG
46 ROYAL BANK OF CANADA
47 SHANGHAI COMMERCIAL BANK LIMITED
48 SOCIETE GENERALE
49 SOCIETE GENERALE ASIA LIMITED
50 SOCIETE GENERALE BANK & TRUST
51 STANDARD CHARTERED BANK (HONG KONG) LIMITED
52 UBS AG
53 UNITED OVERSEAS BANK LTD.
54 WING HANG BANK, LIMITED
55 WING LUNG BANK LIMITED
56 WOORI GLOBAL MARKETS ASIA LIMITED