HONG KONG MONETARY AUTHORITY
香港金融管理局

Banking Supervision Department

Our Ref.: B10/1C
B1/15C

31 October 2014

The Chief Executive
All Authorized Institutions

Dear Sir/Madam,

United Nations (Anti-Terrorism Measures) Ordinance, United Nations Sanctions Ordinance and List of Names for Suspicious Account Reporting

I am writing to inform you of the following developments:

(i) *United Nations (Anti-Terrorism Measures) Ordinance*

The Secretary for Security has specified an updated list of terrorists and terrorist associates designated by the United Nations Security Council (UNSC) Committee under section 4 of the United Nations (Anti-Terrorism Measures) Ordinance (Cap. 575). The list was published in the Government Gazette (G.N. 6193 of 2014) today and is available on the Government's website (http://www.gld.gov.hk/egazette). Relevant press releases issued by the Committee are attached at **Annex**.

(ii) *United Nations Sanctions (Afghanistan) Regulation 2012*

The Secretary for Commerce and Economic Development has specified an updated list of relevant persons and relevant entities designated under section 29 of the United Nations Sanctions (Afghanistan) Regulation 2012 (Cap. 537 sub. leg. AX). The list was published in the Government Gazette (G.N. 6194 of 2014) today and is available on the Government's website (http://www.gld.gov.hk/egazette).

(iii) *United Nations Sanctions (Eritrea) Regulation*

The Secretary for Commerce and Economic Development has specified an updated list of relevant persons and relevant entities designated under section

32 of the United Nations Sanctions (Eritrea) Regulation (Cap. 537 sub. leg. AR). The list was published in the Government Gazette (G.N. 6195 of 2014) today and is available on the Government's website (http://www.gld.gov.hk/egazette).

(iv) *United Nations Sanctions (Libya) Regulation 2011*

The Secretary for Commerce and Economic Development has specified an updated list of relevant persons and relevant entities designated under section 38 of the United Nations Sanctions (Libya) Regulation 2011 (Cap. 537 sub. leg. AW). The list was published in the Government Gazette (G.N. 6196 of 2014) today and is available on the Government's website (http://www.gld.gov.hk/egazette).

(v) *United Nations Sanctions (Somalia) Regulation*

The Secretary for Commerce and Economic Development has specified an updated list of relevant persons and relevant entities designated under section 30 of the United Nations Sanctions (Somalia) Regulation (Cap. 537 sub. leg. AN). The list was published in the Government Gazette (G.N. 6197 of 2014) today and is available on the Government's website (http://www.gld.gov.hk/egazette).

(vi) *List of Names for Suspicious Account Reporting*

The US Government has recently made a number of changes to the list of individuals and entities designated under US Executive Order 13224. The changes since our last letter on this subject dated 10 October 2014 can be found on the website of the US Treasury in respect of changes made on 21 October 2014. (http://www.treasury.gov/resource-center/sanctions/Programs/Documents/terror.pdf)

AIs are reminded that in accordance with the provisions of Chapter 6 of the Guideline on Anti-Money Laundering and Counter-Terrorist Financing, they should maintain a database of individuals and entities designated under the United Nations (Anti-Terrorism Measures) Ordinance, United Nations Sanctions Ordinance and US Executive Order 13224 for client and transaction screening purposes.

The HKMA expects all new designations to be screened against an AI's client list as soon as practicable after the issuing of a circular by the HKMA.

Any transactions or relations, past or present, with any designated individual or entity should be reported to the Joint Financial Intelligence Unit and the HKMA.

Yours faithfully,

Steve Lau
Acting Executive Director (Banking Supervision)

Encl.