



HONG KONG MONETARY AUTHORITY
香港金融管理局

Our Ref.: B1/15C
B9/151C
CB/POL/4/5/34

30 March 2017

The Chief Executive
All locally incorporated authorized institutions

Dear Sir/Madam,

Implementation of Revised Pillar 3 Disclosure Requirements – Standard Disclosure Templates and Tables

I am writing to inform you that, following consultation with the two industry associations, the Monetary Authority is issuing and specifying a set of standard disclosure templates and tables (together with the associated explanatory notes) pursuant to section 6(1)(ab) of the Banking (Disclosure) Rules¹ to be used by locally incorporated authorized institutions (“AIs”) for the purpose of making disclosure under Part 2A of the Rules. English versions of the templates and tables² are enclosed.

The standard disclosure templates and tables, other than templates CR3, CR8 and CCR5, are specified for use by AIs for any reporting period ending on or after 31 March 2017. For templates CR3, CR8 and CCR5, in view of industry’s comments on the potential need for more time and resources for AIs to prepare for the disclosure in the required formats, the three templates are specified for use and must be used for any reporting period ending on or after 31 December 2017. In the meantime, AIs should endeavor to adopt alternative formats to provide disclosure on the subject matter of the three templates on a best efforts basis during 2017.

The English versions of the templates and tables can be accessed through the “Basel III” icon on the HKMA’s public website (<http://www.hkma.gov.hk>) or through the Supervisory Communication Website (<http://www.stet.iclnet.hk>). The Chinese versions of the standard templates and tables will be uploaded as

¹ These refer to the Banking (Disclosure) Rules as amended by the Banking (Disclosure) (Amendment) Rules 2016 which will come into effect from 31 March 2017.

² For ease of reference, a marked-up draft highlighting changes over the consultation draft is also enclosed.

soon as available.³

Should you have any questions regarding the standard templates and tables, please feel free to contact Miss Theresa Kwan at tyykwana@hkma.gov.hk or Mr Lai-chun So at lcso@hkma.gov.hk.

Yours faithfully,

Karen Kemp
Executive Director (Banking Policy)

Encl.

c.c. The Chairperson, The Hong Kong Association of Banks
The Chairman, The DTC Association
FSTB (Attn. Ms Eureka Cheung)

³ The Chinese version of the templates/tables to be used for quarterly disclosures will be available for downloading by AIs shortly, to be followed by the templates/tables to be used for semi-annual and annual disclosures in the near future.