E1

SECRET

Co. No.	MM / YY	CAT.

(For Official Use Only)

under the Banking Ordinance

RETURN OF LARGE EXPOSURES

*** LOCAL OFFICE(S) / LOCAL OFFICES AND OVERSEAS BRANCHES / CONSOLIDATED POSITION**

for the quarter ended ....

(last day of the quarter)

* Delete where inapplicable

Name of Authorized Institution	Date of Submission

The Banking Ordinance

Information requested in this Return is required under section 63(2) of the Banking Ordinance. The Return should be submitted to the Monetary Authority not later than 6 weeks after the end of each quarter ending on 31 March, 30 June, 30 September and 31 December, unless otherwise advised by the Monetary Authority.

Note: This Return is to be prepared in accordance with the Completion Instructions issued by the Monetary Authority.

We certify that this Return is, to the best of our knowledge and belief, correct.

Chief Accountant

Chief Executive

Name

Name

Name and telephone number of responsible person who may be contacted by the Monetary Authority in case of any query.

Name

Telephone Number

MA(BS)28 (Rev. 9/2019)

I. Exposures to any non-bank connected party equal to or exceeding 5% of Tier 1 capital during the reporting period

(in HK$'000)

Name of entity	Maximum exposure before CRM in reporting period	Exposures at reporting date									
		Exposure before CRM						Total exposure before CRM = Sum of columns (3) to (8)	As % of Tier 1 capital (%)	Total exposure after CRM	Memorandum item: Deductions (amount of deduction according to rule 57 of BELR excluded from columns (3) to (8))
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6))	Trading book exposures (excluding those in relation to column (6))	Off-balance sheet exposures arising from commitments and contingent liabilities	Default risk exposures arising from derivative contracts and SFTs	Exposures arising from investment with additional risk factor	Indirect exposures				
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
Total	0	0	0	0	0	0	0	0	0	0	0

Memorandum items	Amount (in HK$'000) Before CRM	Amount (in HK$'000) After CRM	As % of Tier 1 capital (%) Before CRM	As % of Tier 1 capital (%) After CRM
Aggregate exposure to non-bank connected parties				
Aggregate exposure to connected natural persons				

II. Twenty largest exposures (and all those equal to or exceeding 10% of Tier 1 capital) before CRM during the reporting period

(in HK$'000)

Name of entity / LC group	Maximum exposure before CRM in reporting period	Exposures at reporting date									
		Exposure before CRM						Total exposure before CRM = Sum of columns (3) to (8)	As % of Tier 1 capital (%)	Total exposure after CRM	Memorandum item: Deductions (amount of deduction according to rule 57 of BELR excluded from columns (3) to (8))
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6))	Trading book exposures (excluding those in relation to column (6))	Off-balance sheet exposures arising from commitments and contingent liabilities	Default risk exposures arising from derivative contracts and SFTs	Exposures arising from investment with additional risk factor	Indirect exposures				
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
Total	**0**	0	0	0	0	0	0	0	0	0	0

III. Twenty largest exposures (and all those equal to or exceeding 10% of Tier 1 capital) after CRM during the reporting period

(in HK$'000)

Name of entity / LC group	Maximum exposure after CRM in reporting period	Exposures at reporting date									
		Exposure after CRM						Total exposure after CRM = Sum of columns (3) to (8)	As % of Tier 1 capital (%)	Total exposure before CRM	Memorandum item: Deductions (amount of deduction according to rule 57 of BELR excluded from columns (3) to (8))
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6))	Trading book exposures (excluding those in relation to column (6))	Off-balance sheet exposures arising from commitments and contingent liabilities	Default risk exposures arising from derivative contracts and SFTs	Exposures arising from investment with additional risk factor	Indirect exposures				
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
Total	**0**	0	0	0	0	0	0	0	0	0	0

Memorandum Items	Amount (in HK$'000) After CRM	As % of Tier 1 capital (%) After CRM
For monitoring clustering exposures: Aggregate large exposure		

IV. Exempted exposures before CRM (other than intragroup exposures) equal to or exceeding 10% of Tier 1 capital

(in HK$'000)

Name of entity / LC group	Maximum exposure before CRM in reporting period	Exposures at reporting date								Provision(s) under which exemption is granted
		Exempted Exposure before CRM						Total exempted exposure before CRM = Sum of columns (3) to (8)	As % of Tier 1 capital (%)	
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6))	Trading book exposures (excluding those in relation to column (6))	Off-balance sheet exposures arising from commitments and contingent liabilities	Default risk exposures arising from derivative contracts and SFTs	Exposures arising from investment with additional risk factor	Indirect exposures			
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
								0		
Total		0	0	0	0	0	0	0	0	

V. Intragroup exposures equal to or exceeding 5% of Tier 1 capital (local AIs) or 20 largest intragroup exposures (overseas AIs) during the reporting period

(in HK$'000)

Name of entity	Maximum exposure before CRM in reporting period	Exposures at reporting date									
		Exempted Exposure before CRM						Total exempted exposure before CRM = Sum of columns (3) to (8)	As % of Tier 1 capital (%)	Total exempted exposure after CRM	Memorandum item: Deductions (amount of deduction according to rule 57 of BELR excluded from columns (3) to (8))
		On-balance sheet exposures in the banking book (excluding those in relation to columns (5) and (6))	Trading book exposures (excluding those in relation to column (6))	Off-balance sheet exposures arising from commitments and contingent liabilities	Default risk exposures arising from derivative contracts and SFTs	Exposures arising from investment with additional risk factor	Indirect exposures				
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
								0			
Total	0	0	0	0	0	0	0	0	0	0	0

Memorandum Items	Amount (in HK$'000) Before CRM	Amount (in HK$'000) After CRM	As % of Tier 1 capital (%) Before CRM	As % of Tier 1 capital (%) After CRM
Aggregate intragroup exposure				