HONG KONG MONETARY AUTHORITY
香港金融管理局

Banking Conduct Department

Our Ref: B1/15C
G16/1C

22 February 2024

The Chief Executive
All Registered Institutions

Dear Sir/ Madam,

Asset and Wealth Management Activities Survey 2023
Conducted by the Securities and Futures Commission ("SFC")

I am writing to invite your institution to participate in the Asset and Wealth Management Activities Survey ("AWMAS") conducted by the SFC for the year ended 31 December 2023. The purpose of the AWMAS is to collect information about asset and wealth management activities in Hong Kong for regulatory and market facilitation purposes and to develop a better understanding of the state of the asset and wealth management industry in Hong Kong. Please have the questionnaire completed and **returned to the SFC by 19 April 2024** through the online submission system. The questionnaire can be accessed at https://wings.sfc.hk/awmas/#/en/.

Please read the "Important Notes" on the front page (after selection of the type of firm) and the definition of certain terms and expressions set out in the "Note" section of the questionnaire. For the avoidance of doubt, if your institution did not engage in any of the relevant activities covered in the survey, please still fill in the part of "General Information" in the questionnaire.

All information relating to individual institutions will be treated in strict confidence and will not be disclosed by the SFC to any third party other than the Hong Kong Monetary Authority, except in an aggregated form of statistics.

Should you have any questions on the AWMAS, please send an email to awmas2023@sfc.hk or contact the SFC Investment Products Division at 2231-1948 (for institutions whose name begins with the letter A to E) or 2231-1364 (for institutions whose name begins with the letter F to Z).

Yours faithfully,

Kevin Sham
Head (Banking Conduct)

c.c. SFC (Attn: Ms Alexandra Yeong, Senior Director (Investment Products))