



HONG KONG MONETARY AUTHORITY
香港金融管理局

Our Ref: B1/1C
B1/15C

31 December 2025

The Chief Executive
All Authorized Institutions

Dear Sir / Madam,

Self-Assessment of Compliance with the Code of Banking Practice 2025

The annual self-assessment of compliance with the Code of Banking Practice of effective date on 7 December 2023 (“the 2023 Code”), covering the period **from 10 December 2024 to 31 December 2025**, will be due for submission electronically by **31 March 2026**. Enclosed please find a reporting template for this purpose. The electronic file of the reporting template and the submission instructions will be sent to your institution via the Survey Tool platform.

The reporting requirements have been specified in the reporting template. Authorized institutions (AIs) are reminded that the Code requires compliance by certain subsidiaries and affiliated companies of AIs, which should also be covered by this self-assessment accordingly.

As before, AIs are required to commission their internal audit department, compliance department or other equivalent unit to conduct the self-assessment. The Chief Executive of the AI should co-sign the self-assessment report. Where an instance of non-compliance is identified which is a recurrence of similar instances identified in previous exercises, a full account should be provided.

Should there be any technical issues with the Survey Tool, please contact the Survey Tool support team by email at surveytool_support@hkma.gov.hk. For enquiries regarding the self-assessment, please contact Ms Natalie Lai on 2597 0869 or Ms Cora Ching on 2878 8211.

Yours faithfully,

Alan Au
Executive Director (Banking Conduct)

Encl.

c.c. The Chairperson, The Hong Kong Association of Banks
The Chairperson, The DTC Association
The Chairperson, Code of Banking Practice Committee
Secretary for Financial Services and the Treasury (Attn: Mr Timothy Wong)