



HONG KONG MONETARY AUTHORITY  
香港金融管理局

Circulars

26 Feb 2004

## Fund Management Activities Survey 2003 Conducted by the Securities and Futures Commission (SFC)

Our Ref: B1/15C  
G16/1C

26 February 2004

The Chief Executive  
All Registered Institutions  
(Including those deemed registered under the transitional arrangements of the Securities and Futures Ordinance)

Dear Sir / Madam,

### Fund Management Activities Survey 2003 Conducted by the Securities and Futures Commission (SFC)

I am writing to invite you to participate in the SFC's Fund Management Activities Survey for the year ended 31 December 2003. The survey collects information on fund management activities<sup>1</sup> in Hong Kong to enable better understanding of the competitive edge of the sector for regulatory and market facilitation purposes.

If your institution did not engage in any of the activities covered in the survey throughout the year, you are still requested to fill in the first page of the questionnaire.

I would appreciate it if your institution could complete and return the enclosed questionnaire by **26 March 2004** by facsimile at 2877-0318, or by hand or mail to the following address:

Ms Tina So  
Director of Investment Products  
Securities and Futures Commission  
8th Floor, Chater House  
8 Connaught Road Central  
Hong Kong

Please be assured that all information relating to individual institutions will be kept confidential and will not be disclosed by the SFC to any third party other than the HKMA

except in the form of statistics. Please also note that the SFC may contact your institution to discuss information obtained from the questionnaire.

Should you have any inquiries on the survey, please contact the SFC Investment Products Department at 2283-6805 (if your institution's name begins with the letter A to M) or 2842-7723 (if your institution's name begins with the letter N to Z).

Yours faithfully,

Shu-Pui Li  
Acting Executive Director  
Banking Development Department

encl. [questionnaire](#) (PDF file, 68KB)

c.c. SFC (Attn: Mrs Alexa Lam, Executive Director, Intermediaries and Investment Products)

<sup>1</sup> In the context of registered institutions, information on discretionary asset management, non-discretionary asset management, other private banking activities and distribution of collective investment schemes is collected under the survey.

Last revision date : 01 August 2011