



HONG KONG MONETARY AUTHORITY
香港金融管理局

Circulars

22 Feb 2010

Fund Management Activities Survey 2009 Conducted by the Securities and Futures Commission (SFC)

Banking Supervision Department

Our Ref: B1/15C
G16/1C

22 February 2010

The Chief Executive
All Registered Institutions

Dear Sir / Madam,

Fund Management Activities Survey 2009 Conducted by the Securities and Futures Commission (SFC)

I am writing to invite your institution to participate in the SFC's annual Fund Management Activities Survey (the "FMAS") for the year ended 31 December 2009. The purpose of the survey is to collect information on fund management activities¹ in Hong Kong for regulatory and market facilitation purposes and to better understand the competitive edge of the sector.

A copy of the questionnaire is enclosed (please enable Macros when opening the Excel file). Please have the questionnaire completed and returned to the SFC by 26 March 2010.

To reduce the amount of paper work and facilitate data analysis, you are encouraged to submit your completed questionnaire electronically to the SFC through FINNET to the following email address: SFCFMAS2009_submission@sfc.finnet.hk. Alternatively, you may submit by means of a floppy disk or CD. Please refer to the cover sheet of the questionnaire for details.

If your institution did not engage in any of the activities covered in the survey throughout the year, please fill in Part I of the questionnaire.

The SFC may contact your institution to discuss information obtained from the questionnaire. All information relating to individual institutions will be treated in strict confidence and will not be disclosed by the SFC to any third party other than the Hong Kong Monetary Authority except in the form of summary statistics.

Should you have any questions on the survey, please contact the SFC Investment Products Department at 2842-7712 (if your institution's name begins with the letter A to M) or 2283-6844 (if your institution's name begins with the letter N to Z).

Yours faithfully,

Shu-Pui Li
Head (Banking Supervision)

Encl. [Fund Management Activities Survey](#) (PDF file, 756KB)

c.c. SFC (Attn: Ms Peggy Yang, Director of Investment Products)

¹In the context of registered institutions, information on discretionary asset management, non-discretionary asset management, and other private banking activities is collected under the survey.

Last revision date : 01 August 2011