



HONG KONG MONETARY AUTHORITY
香港金融管理局

Our Ref: B1/15C
G16/1C

20 February 2017

The Chief Executive
All Registered Institutions

Dear Sir/ Madam,

Fund Management Activities Survey 2016
Conducted by the Securities and Futures Commission (SFC)

I am writing to invite your institution to participate in the SFC's annual Fund Management Activities Survey (the "FMAS") for the year ended 31 December 2016. The purpose of the survey is to collect information about fund management activities¹ in Hong Kong for regulatory and market facilitation purposes and to develop a better understanding of the state of the fund management industry in Hong Kong.

A copy of the questionnaire is enclosed (please enable Macros when opening the Excel file). Please have the questionnaire completed and **returned to the SFC by 20 March 2017.**

To reduce the amount of paper work and facilitate data analysis, you are encouraged to submit your completed questionnaire electronically through the SFC's designated webpage: <https://www.sfc.hk/sfcFMAS/jsp/submit.jsp>. Please refer to the cover sheet of the questionnaire for details.

If your institution did not engage in any of the activities covered in the survey throughout the year, please still fill in Part I of the questionnaire.

¹ In the context of registered institutions, information on asset management (including discretionary asset management and non-discretionary asset management) and private banking business is collected under the survey.

All information relating to individual institutions will be treated in strict confidence and will not be disclosed by the SFC to any third party other than the Hong Kong Monetary Authority except in an aggregated form of statistics.

Should you have any questions on the survey, please contact the SFC Investment Products Division at 2231-1721 (if your institution's name begins with the letter A to E) or 2231-1735 (if your institution's name begins with the letter F to Z).

Yours faithfully,

Alice Lee
Head (Banking Conduct)

Encl.

c.c. SFC (Attn: Ms Virginia Noronha, Director (Investment Products))