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SECRET

Co. No. MM YY  
(For Official Use Only)

under the Banking Ordinance and  
the Monetary Statistics Ordinance

RETURN OF ASSETS AND LIABILITIES OF AN AUTHORIZED INSTITUTION

FOR POSITION OF THE HONG KONG OFFICE(S) OF AN AUTHORIZED INSTITUTION

As at .....  
(last day of the month)

Parts reported in this submission (please tick)  
Parts I to VIII ☐  
Parts IX to X ☐

|                                |                    |
|--------------------------------|--------------------|
| Name of Authorized Institution | Date of Submission |
|--------------------------------|--------------------|

The Banking Ordinance and the Monetary Statistics Ordinance

Information requested in this return is required under sections 63(1)(a) and 63(2) of the Banking Ordinance and sections 3(1) and 3(2) of the Monetary Statistics Ordinance. The return should be submitted to the Monetary Authority not later than 14 days after the last day of each calendar month. Information required under Parts IX and X may be separately submitted to the Monetary Authority not later than 1 month after the last day of each calendar month, unless otherwise advised by the Monetary Authority.

*Note:* This return is to be prepared in accordance with the completion instructions issued by the Monetary Authority.

We certify that this return is, to the best of our knowledge and belief, correct.

\_\_\_\_\_  
*Chief Accountant*

\_\_\_\_\_  
*Chief Executive*

\_\_\_\_\_  
*Name*

\_\_\_\_\_  
*Name*

Name and telephone number of responsible person who may be contacted by the Monetary Authority in case of any query.

\_\_\_\_\_  
*Name*

\_\_\_\_\_  
*Telephone Number*

(HK\$'000)

|                                                                                   | HK\$ | US\$<br>(HK\$ equivalent) | Other<br>Currencies | Total |
|-----------------------------------------------------------------------------------|------|---------------------------|---------------------|-------|
| I. <u>LIABILITIES</u>                                                             |      |                           |                     |       |
| 1. Capital and reserves                                                           |      |                           |                     |       |
| 1.1 Paid up capital                                                               |      |                           |                     |       |
| 1.2 Reserves                                                                      |      |                           |                     |       |
| 1.3 Current profit/loss                                                           |      |                           |                     |       |
| 1.4 Total                                                                         |      |                           |                     |       |
| 2. Qualifying capital instruments                                                 |      |                           |                     |       |
| 3. Other capital-type instruments                                                 |      |                           |                     |       |
| 4. Notes in circulation                                                           |      |                           |                     |       |
| 5. Due to Exchange Fund                                                           |      |                           |                     |       |
| a. Repayable or callable within 1 month                                           |      |                           |                     |       |
| b. Between 1 and 3 months                                                         |      |                           |                     |       |
| c. Later than 3 months                                                            |      |                           |                     |       |
| d. Total                                                                          |      |                           |                     |       |
| 6. Deposits from customers                                                        |      |                           |                     |       |
| 6.1 Demand deposits and current accounts                                          |      |                           |                     |       |
| a. Interest bearing                                                               |      |                           |                     |       |
| b. Non-interest bearing                                                           |      |                           |                     |       |
| c. Total                                                                          |      |                           |                     |       |
| 6.2 Savings deposits                                                              |      |                           |                     |       |
| 6.3 Time, call and notice deposits                                                |      |                           |                     |       |
| a. Repayable or callable within 7 days                                            |      |                           |                     |       |
| b. Between 8 days and 1 month                                                     |      |                           |                     |       |
| c. Between 1 and 3 months                                                         |      |                           |                     |       |
| d. Later than 3 months                                                            |      |                           |                     |       |
| e. Total                                                                          |      |                           |                     |       |
| 6.4 Total deposits from customers                                                 |      |                           |                     |       |
| 7. Amount payable under repos                                                     |      |                           |                     |       |
| 7.1 Authorized institutions in Hong Kong                                          |      |                           |                     |       |
| 7.2 Banks outside Hong Kong                                                       |      |                           |                     |       |
| 7.3 Non-bank customers (including the Exchange Fund)                              |      |                           |                     |       |
| 7.4 Total                                                                         |      |                           |                     |       |
| 8. Due to banks                                                                   |      |                           |                     |       |
| 8.1 Authorized institutions in Hong Kong connected with the reporting institution |      |                           |                     |       |
| a. Payable on demand and money at call                                            |      |                           |                     |       |
| b. Repayable or callable within 1 month, other than (a)                           |      |                           |                     |       |
| c. Between 1 and 3 months                                                         |      |                           |                     |       |
| d. Later than 3 months                                                            |      |                           |                     |       |
| e. Total                                                                          |      |                           |                     |       |
| 8.2 Other authorized institutions in Hong Kong                                    |      |                           |                     |       |
| a. Payable on demand and money at call                                            |      |                           |                     |       |
| b. Repayable or callable within 1 month, other than (a)                           |      |                           |                     |       |
| c. Between 1 and 3 months                                                         |      |                           |                     |       |
| d. Later than 3 months                                                            |      |                           |                     |       |
| e. Total                                                                          |      |                           |                     |       |

(HK\$'000)

|                                                                                       | HK\$ | US\$<br>(HK\$ equivalent) | Other<br>Currencies | Total |
|---------------------------------------------------------------------------------------|------|---------------------------|---------------------|-------|
| 8.3 Overseas offices of the reporting institution                                     |      |                           |                     |       |
| a. Payable on demand and money at call                                                |      |                           |                     |       |
| b. Repayable or callable within 1 month, other than (a)                               |      |                           |                     |       |
| c. Between 1 and 3 months                                                             |      |                           |                     |       |
| d. Later than 3 months                                                                |      |                           |                     |       |
| e. Total                                                                              |      |                           |                     |       |
| 8.4 Other banks outside Hong Kong                                                     |      |                           |                     |       |
| a. Payable on demand and money at call                                                |      |                           |                     |       |
| b. Repayable or callable within 1 month, other than (a)                               |      |                           |                     |       |
| c. Between 1 and 3 months                                                             |      |                           |                     |       |
| d. Later than 3 months                                                                |      |                           |                     |       |
| e. Total                                                                              |      |                           |                     |       |
| 8.5 Total balances due to banks                                                       |      |                           |                     |       |
| 9. Negotiable debt instruments issued and outstanding                                 |      |                           |                     |       |
| 9.1 Negotiable certificates of deposit (NCDs)                                         |      |                           |                     |       |
| a. Due within 1 month                                                                 |      |                           |                     |       |
| b. Between 1 and 3 months                                                             |      |                           |                     |       |
| c. Between 3 months and 1 year                                                        |      |                           |                     |       |
| d. Later than 1 year                                                                  |      |                           |                     |       |
| e. Total liabilities on NCDs                                                          |      |                           |                     |       |
| 9.2 Other negotiable debt instruments                                                 |      |                           |                     |       |
| a. Due within 1 month                                                                 |      |                           |                     |       |
| b. Between 1 and 3 months                                                             |      |                           |                     |       |
| c. Later than 3 months                                                                |      |                           |                     |       |
| d. Total                                                                              |      |                           |                     |       |
| 9.3 Total                                                                             |      |                           |                     |       |
| 10. Other liabilities                                                                 |      |                           |                     |       |
| 10.1 Accrued interest payable                                                         |      |                           |                     |       |
| 10.2 Suspense items                                                                   |      |                           |                     |       |
| 10.3 Others                                                                           |      |                           |                     |       |
| 10.4 Total                                                                            |      |                           |                     |       |
| 11. Total liabilities                                                                 |      |                           |                     |       |
| II. <u>ASSETS</u>                                                                     |      |                           |                     |       |
| 12. Cash                                                                              |      |                           |                     |       |
| 13. Government certificate of indebtedness for notes issued                           |      |                           |                     |       |
| 14. Due from Exchange Fund                                                            |      |                           |                     |       |
| a. Repayable or callable within 1 month                                               |      |                           |                     |       |
| b. Between 1 and 3 months                                                             |      |                           |                     |       |
| c. Later than 3 months                                                                |      |                           |                     |       |
| d. Total                                                                              |      |                           |                     |       |
| 15. Loans and advances to customers                                                   |      |                           |                     |       |
| 15.1 Loans to finance imports to Hong Kong, and exports and re-exports from Hong Kong |      |                           |                     |       |
| 15.2 Loans to finance merchandising trade (trade transactions not touching Hong Kong) |      |                           |                     |       |
| 15.3 Other loans for use in Hong Kong                                                 |      |                           |                     |       |
| 15.4 Other loans for use outside Hong Kong                                            |      |                           |                     |       |

(HK\$'000)

|                                                                                                   | HK\$ | US\$<br>(HK\$ equivalent) | Other<br>Currencies | Total |
|---------------------------------------------------------------------------------------------------|------|---------------------------|---------------------|-------|
| 15.5 Other loans where the place of use is not known, and where the customer is in Hong Kong      |      |                           |                     |       |
| 15.6 Other loans where the place of use is not known, and where the customer is outside Hong Kong |      |                           |                     |       |
| 15.7 Total loans and advances to customers                                                        |      |                           |                     |       |
| a. Overdrafts                                                                                     |      |                           |                     |       |
| b. Other loans repayable on demand                                                                |      |                           |                     |       |
| c. Other loans due within 1 month                                                                 |      |                           |                     |       |
| d. Between 1 and 3 months                                                                         |      |                           |                     |       |
| e. Between 3 months and 1 year                                                                    |      |                           |                     |       |
| f. Later than 1 year                                                                              |      |                           |                     |       |
| g. Total (=15.1+15.2+15.3+15.4+15.5+15.6)                                                         |      |                           |                     |       |
| 16. Amount receivable under reverse repos                                                         |      |                           |                     |       |
| 16.1 Authorized institutions in Hong Kong                                                         |      |                           |                     |       |
| 16.2 Banks outside Hong Kong                                                                      |      |                           |                     |       |
| 16.3 Non-bank customers (including the Exchange Fund)                                             |      |                           |                     |       |
| 16.4 Total                                                                                        |      |                           |                     |       |
| 17. Due from banks<br>(other than holdings of negotiable debt instruments)                        |      |                           |                     |       |
| 17.1 Authorized institutions in Hong Kong connected with the reporting institution                |      |                           |                     |       |
| a. Payable on demand and money at call                                                            |      |                           |                     |       |
| b. Repayable or callable within 1 month, other than (a)                                           |      |                           |                     |       |
| c. Between 1 and 3 months                                                                         |      |                           |                     |       |
| d. Later than 3 months                                                                            |      |                           |                     |       |
| e. Total                                                                                          |      |                           |                     |       |
| 17.2 Other authorized institutions in Hong Kong                                                   |      |                           |                     |       |
| a. Payable on demand and money at call                                                            |      |                           |                     |       |
| b. Repayable or callable within 1 month, other than (a)                                           |      |                           |                     |       |
| c. Between 1 and 3 months                                                                         |      |                           |                     |       |
| d. Later than 3 months                                                                            |      |                           |                     |       |
| e. Total                                                                                          |      |                           |                     |       |
| 17.3 Overseas offices of the reporting institution                                                |      |                           |                     |       |
| a. Payable on demand and money at call                                                            |      |                           |                     |       |
| b. Repayable or callable within 1 month, other than (a)                                           |      |                           |                     |       |
| c. Between 1 and 3 months                                                                         |      |                           |                     |       |
| d. Later than 3 months                                                                            |      |                           |                     |       |
| e. Total                                                                                          |      |                           |                     |       |
| 17.4 Other banks outside Hong Kong                                                                |      |                           |                     |       |
| a. Payable on demand and money at call                                                            |      |                           |                     |       |
| b. Repayable or callable within 1 month, other than (a)                                           |      |                           |                     |       |
| c. Between 1 and 3 months                                                                         |      |                           |                     |       |
| d. Later than 3 months                                                                            |      |                           |                     |       |
| e. Total                                                                                          |      |                           |                     |       |
| 17.5 Total balances due from banks                                                                |      |                           |                     |       |
| 18. Negotiable certificates of deposit (NCDs) held                                                |      |                           |                     |       |
| 18.1 Issued by licensed banks in Hong Kong                                                        |      |                           |                     |       |
| 18.2 Issued by restricted licence banks in Hong Kong                                              |      |                           |                     |       |
| 18.3 Issued by deposit-taking companies in Hong Kong                                              |      |                           |                     |       |

(HK\$'000)

|                                                                                                      | HK\$ | US\$<br>(HK\$ equivalent) | Other<br>Currencies | Total |
|------------------------------------------------------------------------------------------------------|------|---------------------------|---------------------|-------|
| 18.4 Issued by authorized institutions in Hong Kong                                                  |      |                           |                     |       |
| a. Due within 1 month                                                                                |      |                           |                     |       |
| b. Between 1 and 3 months                                                                            |      |                           |                     |       |
| c. Between 3 months and 1 year                                                                       |      |                           |                     |       |
| d. Later than 1 year                                                                                 |      |                           |                     |       |
| e. Total (=18.1+18.2+18.3)                                                                           |      |                           |                     |       |
| 18.5 Issued by banks outside Hong Kong                                                               |      |                           |                     |       |
| a. Due within 1 month                                                                                |      |                           |                     |       |
| b. Between 1 and 3 months                                                                            |      |                           |                     |       |
| c. Between 3 months and 1 year                                                                       |      |                           |                     |       |
| d. Later than 1 year                                                                                 |      |                           |                     |       |
| e. Total                                                                                             |      |                           |                     |       |
| 18.6 Total NCDs held                                                                                 |      |                           |                     |       |
| 19. All negotiable debt instruments held, other than NCDs                                            |      |                           |                     |       |
| 19.1 Floating rate notes held<br>(other than holdings reported under 19.4)                           |      |                           |                     |       |
| a. Issued by authorized institutions in Hong Kong                                                    |      |                           |                     |       |
| b. Issued by other non-bank institutions in Hong Kong                                                |      |                           |                     |       |
| c. Issued by banks outside Hong Kong                                                                 |      |                           |                     |       |
| d. Issued by non-bank institutions outside Hong Kong                                                 |      |                           |                     |       |
| e. Total                                                                                             |      |                           |                     |       |
| 19.2 Acceptance and bills of exchange held                                                           |      |                           |                     |       |
| a. Accepted or payable by banks (including restricted<br>licence banks and deposit-taking companies) |      |                           |                     |       |
| 1. To finance exports from Hong Kong                                                                 |      |                           |                     |       |
| 2. Others                                                                                            |      |                           |                     |       |
| b. Accepted or payable by non-banks                                                                  |      |                           |                     |       |
| 1. To finance exports from Hong Kong                                                                 |      |                           |                     |       |
| 2. Others                                                                                            |      |                           |                     |       |
| c. Total                                                                                             |      |                           |                     |       |
| 19.3 Commercial paper held                                                                           |      |                           |                     |       |
| a. Issued in Hong Kong                                                                               |      |                           |                     |       |
| b. Issued outside Hong Kong                                                                          |      |                           |                     |       |
| c. Total                                                                                             |      |                           |                     |       |
| 19.4 Government bills, notes and bonds                                                               |      |                           |                     |       |
| a. Issued in Hong Kong                                                                               |      |                           |                     |       |
| b. Issued outside Hong Kong                                                                          |      |                           |                     |       |
| c. Total                                                                                             |      |                           |                     |       |
| 19.5 Other debt instruments held                                                                     |      |                           |                     |       |
| a. Issued in Hong Kong                                                                               |      |                           |                     |       |
| b. Issued outside Hong Kong                                                                          |      |                           |                     |       |
| c. Total                                                                                             |      |                           |                     |       |
| 19.6 All negotiable debt instruments held, other than NCDs                                           |      |                           |                     |       |
| a. Due within 1 month                                                                                |      |                           |                     |       |
| b. Between 1 and 3 months                                                                            |      |                           |                     |       |
| c. Later than 3 months                                                                               |      |                           |                     |       |
| d. Total (=19.1e+19.2c+19.3c+19.4c+19.5c)                                                            |      |                           |                     |       |

|                                            |      |                           |                     | (HK\$'000) |
|--------------------------------------------|------|---------------------------|---------------------|------------|
|                                            | HK\$ | US\$<br>(HK\$ equivalent) | Other<br>Currencies | Total      |
| 20. Investments                            |      |                           |                     |            |
| 20.1 Investments in shareholdings          |      |                           |                     |            |
| a. Listed                                  |      |                           |                     |            |
| b. Unlisted                                |      |                           |                     |            |
| c. Total                                   |      |                           |                     |            |
| 20.2 Other investments                     |      |                           |                     |            |
| 20.3 Total (=20.1c+20.2)                   |      |                           |                     |            |
| 21. Interests in land and buildings        |      |                           |                     |            |
| 21.1 Bank premises                         |      |                           |                     |            |
| 21.2 Other interests in land and buildings |      |                           |                     |            |
| 21.3 Total                                 |      |                           |                     |            |
| 22. Other assets                           |      |                           |                     |            |
| 22.1 Accrued interest receivable           |      |                           |                     |            |
| 22.2 Fixed assets                          |      |                           |                     |            |
| 22.3 Suspense items                        |      |                           |                     |            |
| 22.4 Others                                |      |                           |                     |            |
| 22.5 Total                                 |      |                           |                     |            |
| 23. Total assets                           |      |                           |                     |            |
| 24. Less: Provisions                       |      |                           |                     |            |
| 25. Total assets less provisions           |      |                           |                     |            |

(HK\$'000)

|                                                                                                                                                              | HK\$ | US\$<br>(HK\$ equivalent) | Other<br>Currencies | Total |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|------|---------------------------|---------------------|-------|
| <u>Memorandum items (III – X)</u>                                                                                                                            |      |                           |                     |       |
| <u>III Foreign currency swap and HK\$ customer deposits</u><br>(those simultaneously being reported in<br>liability item 6, except items (a)2 and (b) below) |      |                           |                     |       |
| (a) Foreign currency swap deposits from customers                                                                                                            |      |                           |                     |       |
| 1. Booked locally                                                                                                                                            |      |                           |                     |       |
| 2. Booked offshore                                                                                                                                           |      |                           |                     |       |
| (b) Hong Kong dollar customer deposits booked offshore                                                                                                       |      |                           |                     |       |
| <u>IV. Changes in liability and holding of NCDs</u><br>(liability item 9.1 and asset item 18)                                                                |      |                           |                     |       |
| (a) Changes in the reporting institution's liability on NCDs<br>during the month                                                                             |      |                           |                     |       |
| 1. Issued by the institution of its own NCDs                                                                                                                 |      |                           |                     |       |
| a. Floating rate NCDs                                                                                                                                        |      |                           |                     |       |
| b. Fixed rate NCDs                                                                                                                                           |      |                           |                     |       |
| c. Total                                                                                                                                                     |      |                           |                     |       |
| 2. Redemption of the institution's own NCDs<br>(including early redemption and final redemption)                                                             |      |                           |                     |       |
| 3. Repurchase of the institution's own NCDs                                                                                                                  |      |                           |                     |       |
| 4. Sale of the institution's repurchased NCDs                                                                                                                |      |                           |                     |       |
| 5. Change in the institution's liability on NCDs in the month<br>(=1c-2-3+4)                                                                                 |      |                           |                     |       |
| (b) Changes in the reporting institution's holding of NCDs<br>during the month                                                                               |      |                           |                     |       |
| 1. Purchases by the institution in the primary market                                                                                                        |      |                           |                     |       |
| a. Issued by licensed banks in Hong Kong                                                                                                                     |      |                           |                     |       |
| b. Issued by restricted licence banks in Hong Kong                                                                                                           |      |                           |                     |       |
| c. Issued by deposit-taking companies in Hong Kong                                                                                                           |      |                           |                     |       |
| d. Issued by banks outside Hong Kong                                                                                                                         |      |                           |                     |       |
| e. Total                                                                                                                                                     |      |                           |                     |       |
| 2. Purchases by the institution in the secondary market                                                                                                      |      |                           |                     |       |
| a. In Hong Kong                                                                                                                                              |      |                           |                     |       |
| b. Outside Hong Kong                                                                                                                                         |      |                           |                     |       |
| c. Total                                                                                                                                                     |      |                           |                     |       |
| 3. Sales by the institution in the secondary market                                                                                                          |      |                           |                     |       |
| a. In Hong Kong                                                                                                                                              |      |                           |                     |       |
| b. Outside Hong Kong                                                                                                                                         |      |                           |                     |       |
| c. Total                                                                                                                                                     |      |                           |                     |       |

|                                                       | (HK\$'000) |                           |                     |       |
|-------------------------------------------------------|------------|---------------------------|---------------------|-------|
|                                                       | HK\$       | US\$<br>(HK\$ equivalent) | Other<br>Currencies | Total |
| 4. Final redemption of NCDs held by the institution   |            |                           |                     |       |
| a. Issued by authorized institutions in Hong Kong     |            |                           |                     |       |
| b. Issued by banks outside Hong Kong                  |            |                           |                     |       |
| c. Total                                              |            |                           |                     |       |
| 5. Total (=1e+2c-3c-4c)                               |            |                           |                     |       |
| V. <u>Value of securities</u>                         |            |                           |                     |       |
| (a) Securities sold under repo agreements             |            |                           |                     |       |
| 1. Government bills, notes and bonds                  |            |                           |                     |       |
| 2. NCDs                                               |            |                           |                     |       |
| 3. Other debt instruments                             |            |                           |                     |       |
| 4. Total                                              |            |                           |                     |       |
| (b) Unmatured purchases of securities                 |            |                           |                     |       |
| 1. Government bills, notes and bonds                  |            |                           |                     |       |
| 2. NCDs                                               |            |                           |                     |       |
| a. Issued by licensed banks in Hong Kong              |            |                           |                     |       |
| b. Issued by restricted licence banks in Hong Kong    |            |                           |                     |       |
| c. Issued by deposit-taking companies in Hong Kong    |            |                           |                     |       |
| d. Issued by banks outside Hong Kong                  |            |                           |                     |       |
| e. Total                                              |            |                           |                     |       |
| 3. Other debt instruments                             |            |                           |                     |       |
| 4. Total                                              |            |                           |                     |       |
| (c) Securities acquired under reverse repo agreements |            |                           |                     |       |
| 1. Government bills, notes and bonds                  |            |                           |                     |       |
| 2. NCDs                                               |            |                           |                     |       |
| 3. Other debt instruments                             |            |                           |                     |       |
| 4. Total                                              |            |                           |                     |       |
| (d) Unmatured sales of securities                     |            |                           |                     |       |
| 1. Government bills, notes and bonds                  |            |                           |                     |       |
| 2. NCDs                                               |            |                           |                     |       |
| a. Issued by licensed banks in Hong Kong              |            |                           |                     |       |
| b. Issued by restricted licence banks in Hong Kong    |            |                           |                     |       |
| c. Issued by deposit-taking companies in Hong Kong    |            |                           |                     |       |
| d. Issued by banks outside Hong Kong                  |            |                           |                     |       |
| e. Total                                              |            |                           |                     |       |
| 3. Other debt instruments                             |            |                           |                     |       |
| 4. Total                                              |            |                           |                     |       |
| VI. <u>Market makers' short position</u>              |            |                           |                     |       |
| (a) Hong Kong Government bills, notes and bonds       |            |                           |                     |       |

(Parts VII and VIII are to be completed by institutions incorporated outside Hong Kong only)

|                                                                                                                                                                                                     | Principal<br>Amount<br>HK\$'000 | Principal<br>Amount<br>HK\$'000 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|---------------------------------|
| <u>VII. Residential mortgages</u>                                                                                                                                                                   |                                 |                                 |
| (a) Residential mortgage loans                                                                                                                                                                      |                                 |                                 |
| (b) Securities backed by, or participations in, residential mortgages                                                                                                                               |                                 |                                 |
| (c) Total residential mortgages                                                                                                                                                                     |                                 |                                 |
| <u>VIII. Off-balance sheet exposures</u>                                                                                                                                                            |                                 |                                 |
| (a) Direct credit substitutes                                                                                                                                                                       |                                 |                                 |
| (b) Transaction-related contingent items                                                                                                                                                            |                                 |                                 |
| (c) Trade-related contingent items                                                                                                                                                                  |                                 |                                 |
| (d) Sale and repurchase agreements; assets sales or other transactions with<br>recourse; forward asset purchases; amount owing on partly paid shares<br>and securities; and forward deposits placed |                                 |                                 |
| (e) Note issuance and revolving underwriting facilities                                                                                                                                             |                                 |                                 |
| (f) Other commitments                                                                                                                                                                               |                                 |                                 |
| 1. With an original maturity of under 1 year or are unconditionally cancellable                                                                                                                     |                                 |                                 |
| 2. With an original maturity of 1 year and over                                                                                                                                                     |                                 |                                 |
| (g) Exchange rate <del>and gold</del> contracts                                                                                                                                                     |                                 |                                 |
| (h) Interest rate contracts                                                                                                                                                                         |                                 |                                 |
| (i) Equity contracts                                                                                                                                                                                |                                 |                                 |
| (j) Precious metals, <del>other than including</del> gold contracts                                                                                                                                 |                                 |                                 |
| (k) Commodities other than precious metals and gold contracts                                                                                                                                       |                                 |                                 |
| (l) Total off-balance sheet exposures                                                                                                                                                               |                                 |                                 |

|                                                                          | (HK\$'000) |                           |                     |       |
|--------------------------------------------------------------------------|------------|---------------------------|---------------------|-------|
|                                                                          | HK\$       | US\$<br>(HK\$ equivalent) | Other<br>Currencies | Total |
| IX. <u>Breakdown of liabilities to and claims on banks and non-banks</u> |            |                           |                     |       |
| (a) Liabilities to banks outside Hong Kong                               |            |                           |                     |       |
| 1. China                                                                 |            |                           |                     |       |
| 2. Japan                                                                 |            |                           |                     |       |
| 3. United States                                                         |            |                           |                     |       |
| 4. United Kingdom                                                        |            |                           |                     |       |
| 5. All other countries                                                   |            |                           |                     |       |
| (b) Liabilities to non-bank customers outside Hong Kong                  |            |                           |                     |       |
| 1. China                                                                 |            |                           |                     |       |
| 2. Japan                                                                 |            |                           |                     |       |
| 3. United States                                                         |            |                           |                     |       |
| 4. United Kingdom                                                        |            |                           |                     |       |
| 5. All other countries                                                   |            |                           |                     |       |
| (c) Claims on banks outside Hong Kong                                    |            |                           |                     |       |
| 1. China                                                                 |            |                           |                     |       |
| 2. Japan                                                                 |            |                           |                     |       |
| 3. United States                                                         |            |                           |                     |       |
| 4. United Kingdom                                                        |            |                           |                     |       |
| 5. All other countries                                                   |            |                           |                     |       |
| (d) Claims on non-bank customers outside Hong Kong                       |            |                           |                     |       |
| 1. China                                                                 |            |                           |                     |       |
| 2. Japan                                                                 |            |                           |                     |       |
| 3. United States                                                         |            |                           |                     |       |
| 4. United Kingdom                                                        |            |                           |                     |       |
| 5. All other countries                                                   |            |                           |                     |       |

| (HK\$'000)                                                   |                                                                                          |                           |                     |       |
|--------------------------------------------------------------|------------------------------------------------------------------------------------------|---------------------------|---------------------|-------|
|                                                              | HK\$                                                                                     | US\$<br>(HK\$ equivalent) | Other<br>Currencies | Total |
| <b>X Breakdown of liability and asset items by residency</b> |                                                                                          |                           |                     |       |
| <u>Liability item reference</u>                              |                                                                                          |                           |                     |       |
| 6.1                                                          | Demand deposits and current accounts                                                     |                           |                     |       |
| i.                                                           | Hong Kong residents                                                                      |                           |                     |       |
| ii.                                                          | non-Hong Kong residents                                                                  |                           |                     |       |
| 6.2                                                          | Savings deposits                                                                         |                           |                     |       |
| i.                                                           | Hong Kong residents                                                                      |                           |                     |       |
| ii.                                                          | non-Hong Kong residents                                                                  |                           |                     |       |
| 6.3                                                          | Time, call and notice deposits                                                           |                           |                     |       |
| i.                                                           | Hong Kong residents                                                                      |                           |                     |       |
| ii.                                                          | non-Hong Kong residents                                                                  |                           |                     |       |
| 7.3                                                          | Amount payable under repos – non-bank customers (including the Exchange Fund)            |                           |                     |       |
| i.                                                           | Hong Kong residents                                                                      |                           |                     |       |
| ii.                                                          | non-Hong Kong residents                                                                  |                           |                     |       |
| 9.1                                                          | NCDs issued and outstanding                                                              |                           |                     |       |
| i.                                                           | Hong Kong residents                                                                      |                           |                     |       |
| ii.                                                          | non-Hong Kong residents                                                                  |                           |                     |       |
| <u>Asset item reference</u>                                  |                                                                                          |                           |                     |       |
| 15.7                                                         | Total loans and advances to customers                                                    |                           |                     |       |
| i.                                                           | Hong Kong residents                                                                      |                           |                     |       |
| ii.                                                          | non-Hong Kong residents                                                                  |                           |                     |       |
| 16.3                                                         | Amount receivable under reverse repos – non-bank customers (including the Exchange Fund) |                           |                     |       |
| i.                                                           | Hong Kong residents                                                                      |                           |                     |       |
| ii.                                                          | non-Hong Kong residents                                                                  |                           |                     |       |
| 19.2b                                                        | Acceptance and bills of exchange held – accepted or payable by non-banks                 |                           |                     |       |
| 1.                                                           | To finance exports from Hong Kong                                                        |                           |                     |       |
| i.                                                           | Hong Kong residents                                                                      |                           |                     |       |
| ii.                                                          | non-Hong Kong residents                                                                  |                           |                     |       |
| 2.                                                           | Others                                                                                   |                           |                     |       |
| i.                                                           | Hong Kong residents                                                                      |                           |                     |       |
| ii.                                                          | non-Hong Kong residents                                                                  |                           |                     |       |
| 20.3                                                         | Total investments                                                                        |                           |                     |       |
| i.                                                           | Hong Kong residents                                                                      |                           |                     |       |
| ii.                                                          | non-Hong Kong residents                                                                  |                           |                     |       |